# EPFL Master course Philosophical perspectives on science and its history

Philosophy of quantum physics: non-locality, the measurement problem & the ontology

Michael Esfeld
University of Lausanne
Michael.Esfeld@unil.ch
http://www.michaelesfeld.com

### Quantum physics Einstein's boxes (1927)

- Box with one particle prepared in Lausanne.
- Box divided in two halves. One half-box sent to New York, the other one sent to Tokyo.
- QM textbook formalism: wave function represents particle as being distributed over both half-boxes (superposition) = probability to find particle in New York 0.5, probability to find particle in Tokyo 0.5.
- Alice opens her box in New York and finds it empty.
- → Fact that there is a particle in the box that Bob receives in Tokyo.
- **QM textbook formalism:** wave function represents particle as being localised in Tokyo ("collapse of the wave-function").

### The problem of interpretation

- collapse of the wave function: *epistemological*, updating of available information
- → particle is always localised either in the box travelling to New York or in the box travelling to Tokyo (= moves on classical trajectory, not influenced by operations on the other box). When Alice opens her box in New York, she simply receives the information where the particle is.
  - quantum formalism *incomplete* because it doesn't tell us where the particle is: formalism provides probabilities for measurement outcome statistics, but doesn't represent real evolution of objects
- collapse of the wave function: *ontological*, process in nature
- → When Alice opens her box in New York, she creates the fact that there is a particle in the box in Tokyo.
  - Einstein: "spooky action at a distance"

### Heisenberg (1930)

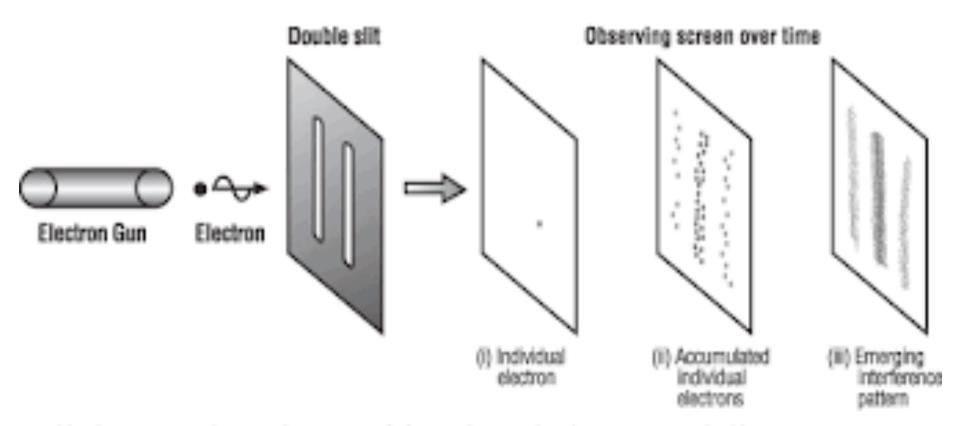


"... one sees that this action is propagated with a velocity greater than that of light. However, it is also obvious that this kind of action can never be utilized for the transmission of signals so that it is not in conflict with the postulates of the theory of relativity."

## Measurement problem Non-locality problem

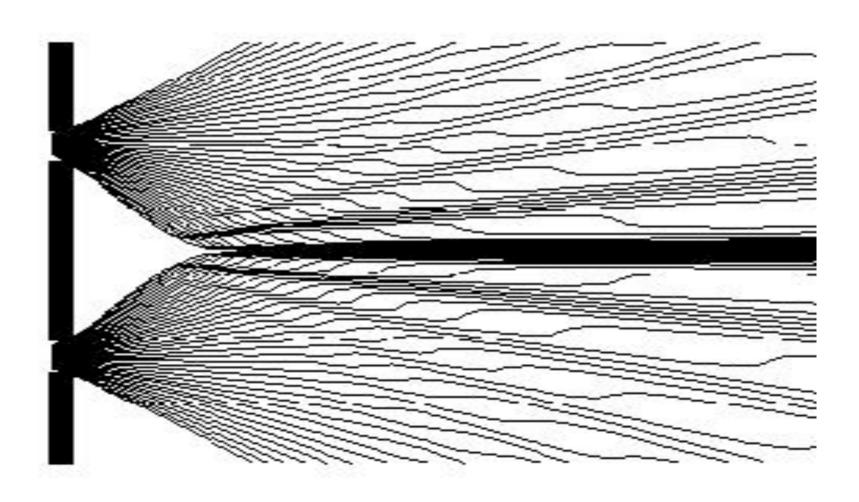
- measurement problem & non-locality problem: measurement operation seems to create a physical reality that doesn't exist independently of measurement, and seems to do so non-locally
- algorithm to calculate measurement outcome statistics
- What is the dynamics that leads from the preparation to the outcome? What happens in nature?
- **Einstein's reasoning:**
- QM complete → non-locality
- no non-locality → QM incomplete
- ?QM incomplete → no non-locality?

### Double slit experiment



Double-slit apparatus showing the pattern of electron hits on the observing screen building up over time.

### Double slit experiment Trajectories: non-locality



### Quantum entanglement

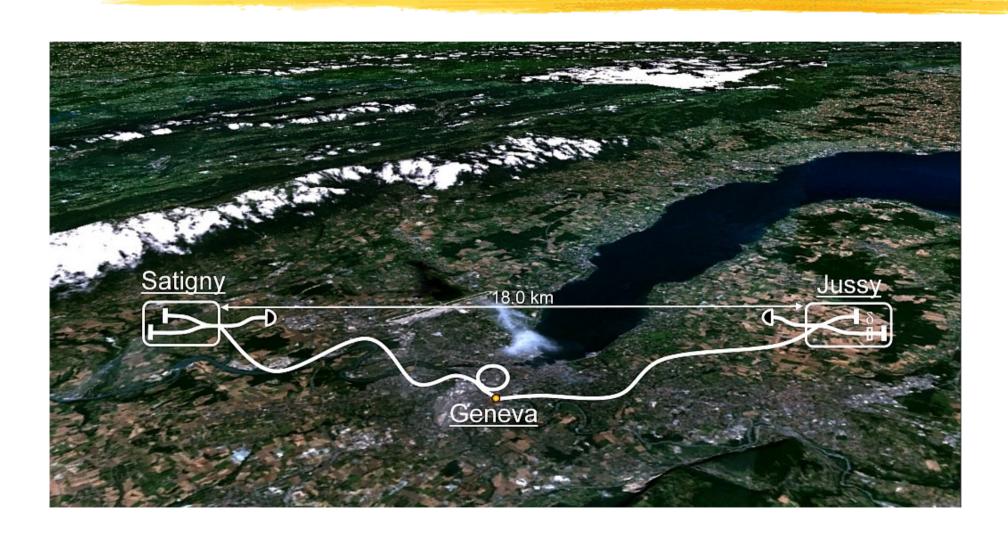
- **Einstein, Podolsky, Rosen (EPR) (1935): two** particles, two observables: position and momentum
- Bohm (1951): two particles, two observables: spin in different directions

(1) 
$$\psi_{12} = 1/\sqrt{2} \ (\psi^+_1 \otimes \psi^-_2 - \psi^-_1 \otimes \psi^+_2)$$

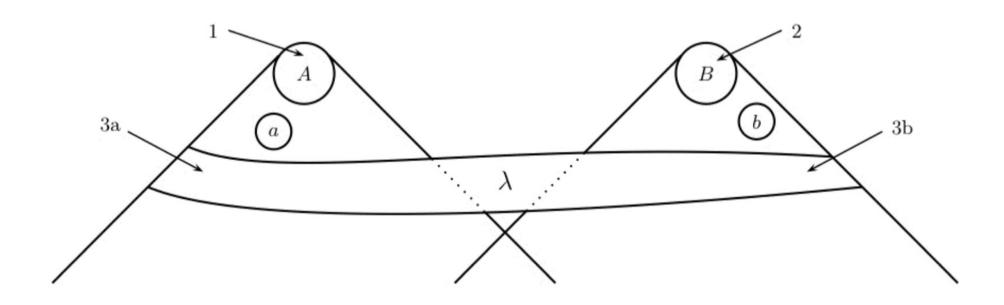
(2) 
$$\psi_{12} = \psi^+_1 \otimes \psi^-_2 \text{ Prob. } 0.5$$

(3) 
$$\psi_{12} = \psi_{1}^{-} \otimes \psi_{2}^{+} \text{ Prob. } 0.5$$

### Quantum non-locality



### Bell's locality condition



### Bell's Theorem (1964)



- "no conspiracy": a and b are independent of  $\lambda$
- locality: given λ, a and A are independent of b and B (and vice versa); the probability for a certain value of A does not change, if b and B are given (and vice versa)

$$P(A \mid a, b, B, \lambda) = P(A \mid a, \lambda)$$

$$P(B \mid a, b, A, \lambda) = P(B \mid b, \lambda)$$

- There is no theory possible that is in accord with the empirical predictions of QM and that satisfies locality.
- constraint on any future theory

### Bell's Theorem (1964)

Einstein's boxes (1927) Einstein-Podolsky-Rosen (EPR) (1935)

- **EITHER spooky action at a distance**
- → absurd
- OR QM incomplete
- Bell (1964): impossible to complete QM respecting the principle of locality (possible in the case of Einstein's boxes, but impossible in the general case)
- task after Bell: find an understanding of QM without falling into the pitfall of "spooky action at a distance"
- retreat to instrumentalism (algorithm to predict measurement outcome statistics) provides no dynamics for the processes that occur in nature

### **Dynamics**

Schrödinger equation

$$i\hbar \frac{\partial \Psi_t}{\partial t} = H\Psi_t$$

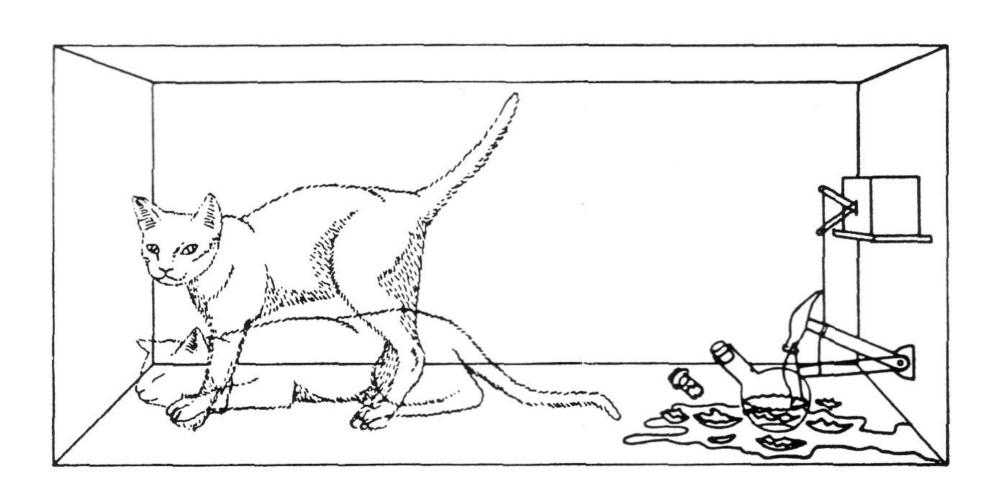
- temporal development of wave function
- allows to calculate probabilities for measurement outcomes

## The measurement problem Tim Maudlin (1995)

- A The wave function of a system is *complete*, i.e. the wave function specifies all of the physical properties of a system.
- B The wave function always evolves in accord with a linear dynamical equation / with a deterministic dynamical equation (e.g. the Schrödinger equation).
- C Measurements of, e.g., the spin of an electron always have determinate outcomes, i.e., at the end of the measurement the measuring device is either in a state which indicates spin up (and not down) or spin down (and not up). / Measurement situations which are described by identical initial wave functions sometimes have different outcomes, and the probability of each possible outcome is given by Born's rule.

A and B entail not C.

### Schrödinger's cat



## John von Neumann: Mathematical foundations of quantum mechanics (1932)



#### two different evolutions:

- Schrödinger equation: deterministic entanglement
- postulate of state reduction in measurement ("collapse of the wave function"):

dissolves entanglement
completely ad hoc; no physical
difference between measurement
and other interactions
no physical difference between
measurement apparatuses and
other systems

### John Bell (1990)



"What exactly qualifies some physical systems to play the role of 'measurer'? Was the wavefunction of the world waiting to jump for thousands of millions of years until a singlecelled living creature appeared? Or did it have to wait a little longer, for some better qualified system ... with a PhD?"

### The problem of understanding QM

- QM formalism provides probabilities for measurement outcome statistics, but cannot even accommodate the fact that there are measurement outcomes (unless "collapse of the wave function" conceived as process in nature)
- classical trajectories cannot yield QM probabilities (Bell's theorem)
- collapse of the wave-function as process in nature
- → "spooky action at a distance" (Einstein)

### Not C: many worlds Hugh Everett (1957)



- idea: every possible event (measurement outcome) exists in a branch of the universe; split of the universe in many branches
- law: branches represented by wave function of the universe; always develops according to Schrödinger equation
  - task: explain why world appears as if there were localised objects

## Not C: many worlds open questions

- 1) How shall one conceive the process of the development of multiple branches of the universe?
- Schrödinger equation reversible; is the splitting of the universal wave function into many branches reversible? Can there be a fusion of branches?
- 2) What is the relationship between the branches and space-time?
- 3) How can probabilities be accommodated?
- **Everything that is possible according to the theory exists in fact.**
- → It seems that there is no place for probabilities, not even subjective probabilities: every possible future of any person becomes real in a branch of the universe.

### Not B: other dynamics Ghirardi, Rimini, Weber (GRW) (1986)



- idea: one single dynamics that includes wave function collapse in a non *ad hoc* manner
- spontaneous localisation: add to Schrödinger equation parameters that indicate probability for wave function to localise spontaneously in configuration space.
- micro-system extremely low probability to localise spontaneously (once in 10<sup>15</sup>s)
- macrosystem composed of very many microsystems (10<sup>23</sup>): spontaneous localisation always occurs

### Ghirardi: matter field

- matter = continuous stuff (gunk); field, wave
- E.g. an electron, when it is not in a state in which it has a precise position, is smeared out all over physical space, constituting a matter density that is thicker in some regions of space than in others.
- no particles: matter = one single substance distributed all over space with different degrees of density at the points of space; developing according to GRW equation, localises spontaneously in certain regions of space, thus building up localised macroobjects
- spontaneous localisation: instantaneous transport of matter across arbitrary distances in space (delocalisation)
- → Einstein: "spooky action at a distance"

### Bell on GRW: flash-events

- **spontaneous localisation of Ψ in configuration space** 
  - → flash at point in physical space
- The flashes are *all* that exists in physical space. GRW equation indicates probabilities for occurrence of new flashes given initial distribution of flashes; macroobjects = galaxies of flashes
- no particles, no wave / field: isolated events
- → There is nothing with which a measurement apparatus interacts. (Einstein's boxes: measurement in New York interaction with nothing, but produces instantaneously flash in Tokyo)

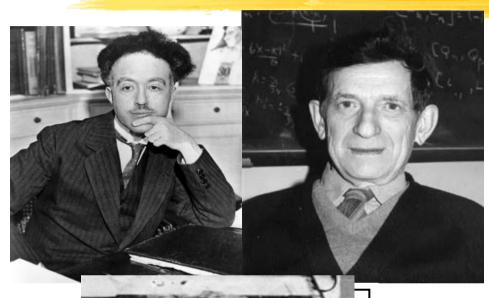
### Bell's reply

- all measurement outcomes = definite position of something
- When a macro-object is localised, the micro-objects that compose it are also localised.
- common sense realism: macro-objects are localised independently of whether someone observes them → micro-objects are localised independently of measurements.
- Micro-objects are localised when composing macroobjects iff they're are *always* localised.

### Consequences

- 1) objects as in classical mechanics: particles localised at points in physical space
- →not A: the wave-function doesn't reveal the actual particle configuration
- 2) non-local law of their temporal development
- transition from classical to quantum: change in dynamics, but not in ontology (= other, nonlocal dynamics for particles)

## Not A: de Broglie (1927), Bohm (1952, 1993), Bell (1966, 1982)



- particles that always have a definite position in space
- → localisation and individuality
- → velocity, trajectory
- law: input: particle positions; output: velocity of the particles

by means of the wave function

$$\frac{dQ}{dt} = \mu \Im \frac{\nabla \Psi_t(Q)}{\Psi_t(Q)}$$

wave function develops according to Schrödinger equation

### Not A: Bohmian mechanics

- probabilities as in classical statistical mechanics: ignorance of initial conditions
- law linked with probability measure such that universe is in quantum equilibrium = represented by  $[\Psi]^2$
- → Born's rule for subsystems = QM probabilities deduced from Bohmian mechanics
- slight variation in initial conditions, big variation in resulting trajectories → no point in calculating individual trajectories (as in coin flip)
- operators / observables: behaviour of quantum systems in experimental situations = change of position / trajectory

### Positivist fallacies from textbook QM

- Heisenberg indeterminacy relations: not possible to measure position and momentum of quantum particles with arbitrary precision
- particles don't have position & momentum = no trajectories
- trajectories to explain measurement outcomes
- quantum particles in entangled states not distinguishable by means of operators
- quantum particles not individual systems
- particles distinguished by position
- only probabilities for measurement outcomes
- particle motion indeterministic
- deterministic law of motion from which statistical predictions follow (Born's rule); probabilities as in statistical mechanics

### Deviation from classical mechanics Bohm GRW Everett

Ontology

particles: positions wave: matter density wave function

flashes: single events

**Dynamics** 

non-local correlations collapse, delocalisation local in configuration

of matter if wave space

**Probabilities** 

ignorance fundamental open issue