

# Differential Geometry II - Smooth Manifolds Winter Term 2024/2025 Lecturer: Dr. N. Tsakanikas Assistant: L. E. Rösler

## Exercise Sheet 7 – Solutions

### Exercise 1 (to be submitted by Thursday, 7.11.2024, 16:00):

(a) Let N and  $M_1, \ldots, M_k$  be smooth manifolds, where  $k \geq 2$ , and let  $F_i: N \to M_i$  be smooth maps, where  $1 \leq i \leq k$ . Show that the map

$$
G\colon N\to \prod_{i=1}^k M_i, \ x\mapsto (F_1(x),\ldots,F_k(x))
$$

is smooth and that its differential at any point  $p \in N$  is of the form

$$
(dG_p)(v) = (d(F_1)_p(v), \dots, d(F_k)_p(v)), \ v \in T_pN.
$$

- (b) Show that the quotient map  $\pi: \mathbb{R}^{n+1} \setminus \{0\} \to \mathbb{R} \mathbb{P}^n$  is a smooth submersion, and that the kernel of its differential  $d\pi_p: T_p(\mathbb{R}^{n+1} \setminus \{0\}) \to T_{[p]}\mathbb{R}\mathbb{P}^n$  is the subspace generated by  $p$ .
- $(c)$  Let M be a non-empty, compact, smooth manifold. Show that there exists no smooth submersion  $F: M \to \mathbb{R}^k$  for any  $k \in \mathbb{Z}_{\geq 1}$ .

#### Solution:

(a) The fact that G is smooth follows immediately from part (b) of [*Exercise Sheet* 3, Exercise 4, and the fact that the differential of G at  $p \in N$  has the above form follows readily from part (b) of [Exercise Sheet 4, Exercise 1] and [Exercise Sheet 4, Exercise 3].

(b) From the solution to [Exercise Sheet 3, Exercise 5], we know that for every  $0 \leq i \leq n$ there exists a smooth map  $\Phi_i: U_i \to \mathbb{R}^{n+1} \setminus \{0\}$  such that  $\pi \circ \Phi_i = \iota_{U_i}$ , where  $\iota_{U_i}$  is the inclusion of  $U_i$  into  $\mathbb{RP}^n$ . Write  $p = (p^1, \ldots, p^{n+1})$  and for each  $0 \le i \le n$  set  $\widetilde{\Phi}_i = p^i \cdot \Phi_i$ . Then we still have  $\pi \circ \Phi_i = \iota_{U_i}$ , and moreover  $\Phi_i([p]) = p$ . Hence,

$$
d\pi_p \circ d(\widetilde{\Phi}_i)_{[p]} = d(\iota_{U_i})_{[p]},
$$

and as the right hand side is an isomorphism, we infer that  $d\pi_p$  is surjective. Therefore,  $\pi$  is a submersion.

Let us show that  $p \in \text{ker} (d\pi_p)$ . Let  $f \in C^{\infty}(\mathbb{R}^p)$  be arbitrary, and let  $D_p|_p$  be the directional derivative at  $p$  with direction  $p$  defined in [*Exercise Sheet 4, Exercise* 2]. Then

$$
d\pi_p\left(D_p|_p\right)(f) = D_p|_p(f \circ \pi) = \frac{d}{dt}\bigg|_{t=0} (f \circ \pi)(p+tp) = 0
$$

as  $t \mapsto \pi(p + tp)$  is constant. By [*Exercise Sheet 4, Exercise 2*],  $D_p|_p$  corresponds to p under the natural identification  $T_p(\mathbb{R}^{n+1} \setminus \{0\}) \cong \mathbb{R}^{n+1}$ . Thus, the kernel of  $d\pi_p$  is generated by p.

 $(c)$  Assume by contradiction that there exist a positive integer k and a smooth submersion  $F: M \to \mathbb{R}^k$ . Since M is compact and F is continuous, the image  $F(M) \subseteq \mathbb{R}^k$  is also compact, and since  $\mathbb{R}^k$  is Hausdorff, we infer that  $F(M)$  is a closed subset of  $\mathbb{R}^k$ . On the other hand, since F is a smooth submersion, it is an open map by Proposition 4.16, so the image  $F(M)$  is an open subset of  $\mathbb{R}^k$ . But since  $\mathbb{R}^k$  is connected and  $M \neq \emptyset$ , it follows that  $F(M) = \mathbb{R}^k$ , which implies that  $\mathbb{R}^k$  is compact, a contradiction.

#### Exercise 2:

(a) Let M be a smooth manifold. Show that there exists a smooth map  $f: M \to [0, +\infty)$ that is proper.

[Hint: Use a function of the form  $f = \sum_{i=1}^{+\infty} c_i \psi_i$ , where  $(\psi_i)_{i=1}^{+\infty}$  is a partition of unity and the  $c_i$ 's are real numbers.]

(b) Let  $F: M \to N$  be an injective smooth immersion between smooth manifolds. Show that there exists a smooth embedding  $G: M \to N \times \mathbb{R}$ .

[Hint: Use part (a) and Exercise 1(a).]

#### Solution:

(a) Since M is paracompact, there exists a countable, locally finite family  $\mathfrak{U} = (U_i)_{i \in \mathbb{N}}$ of relatively compact (i.e.,  $\overline{U}_i$  is compact for each  $i \in \mathbb{N}$ ) open subsets of M such that  $M = \bigcup_{i \in \mathbb{N}} U_i$ . Consider now a smooth partition of unity  $(\psi_i)_{i \in \mathbb{N}}$  subordinate to  $\mathfrak U$  and the sequence  $(c_i = i)_{i \in \mathbb{N}}$  of non-negative real numbers such that  $\lim_{i \to \infty} c_i = +\infty$ , and define the smooth function

$$
f: M \to \mathbb{R}, x \mapsto \sum_{i \in \mathbb{N}} c_i \psi_i(x) = \sum_{i \in \mathbb{N}} i \psi_i(x).
$$

We will show that f is proper. Observe that for any  $k \in \mathbb{N}$  and any  $x \notin U_1 \cup ... \cup U_k$ , since supp  $\psi_i \subseteq U_i$  and  $\sum_{i \in \mathbb{N}} \psi_i(x) = 1$ , we have

$$
f(x) = \sum_{i>k} i\psi_i(x) \ge (k+1) \sum_{i>k} \psi_i(x) = k+1,
$$

which implies (by contraposition) that  $f^{-1}([0, k]) \subseteq U_1 \cup ... \cup U_k$  for any  $k \in \mathbb{N}$ . Thus, for any compact subset  $K \subseteq \mathbb{R}$ , the preimage  $f^{-1}(K)$  is a closed subset of M and there exists  $r_k \in \mathbb{N}$  such that  $f^{-1}(K)$  is contained in some finite union  $U_1 \cup \ldots \cup U_{r_k}$ , and hence in the compact subset  $\overline{U}_1 \cup \ldots \cup \overline{U}_{r_k}$  of M. It follows that  $f^{-1}(K)$  is compact, as desired. (b) By part (a) there exists a smooth proper function  $f: M \to \mathbb{R}$ . Consider now the map

$$
G \colon M \to N \times \mathbb{R}, \ x \mapsto (F(x), f(x)),
$$

which is smooth and whose differential has the form  $dG = (dF, df)$  by *Exercise* 1(a). Since  $F$  is injective, one immediately sees that  $G$  is also injective. Moreover, since  $F$  is a smooth immersion, and thus its differential  $dF_p$  is injective at every point  $p \in M$ , it follows readily that  $dG_p = (dF_p, df_p)$  is also injective at every point  $p \in M$ . Consequently, G is an injective smooth immersion.

Next, we claim that G is a proper map. Given a compact subset  $K \subseteq N \times \mathbb{R}$ , we will show that  $G^{-1}(K)$  is a compact subset of M. To this end, since  $N \times \mathbb{R}$  is a Hausdorff space, K is in particular a closed subset of  $N \times \mathbb{R}$ , and since G is continuous, the preimage  $G^{-1}(K)$  is a closed subset of M. Now, since the projection to the second factor  $pr_2: N \times \mathbb{R} \to \mathbb{R}$  is continuous, the image  $pr_2(K)$  is a compact subset of  $\mathbb{R}$ , and since f is proper by assumption, the preimage  $f^{-1}(\text{pr}_2(K))$  is a compact subset of M, which contains the closed set  $G^{-1}(K)$ . Hence,  $G^{-1}(K)$  is a compact subset of M, as claimed.

In conclusion, G is a smooth embedding by the above and by *Proposition 4.6*(b), as asserted.

**Exercise 3** (Characteristic property of surjective smooth submersions): Let  $\pi: M \to$ N be a surjective smooth submersion. Prove the following assertion: For any smooth manifold P, a map  $F: N \to P$  is smooth if and only if the composite map  $F \circ \pi: M \to P$ is smooth.



**Solution:** If F is smooth, then  $F \circ \pi$  is also smooth by [*Exercise Sheet 3, Exercise 3*]. Conversely, assume that  $F \circ \pi$  is smooth and let  $q \in N$ . Since  $\pi$  is surjective, there is  $p \in M$  such that  $\pi(p) = q$ , and then Theorem 4.15 guarantees the existence of a neighborhood U of q in N and a smooth local section  $\sigma: U \to M$  of  $\pi$  such that  $\sigma(q) = p$ . Then  $\pi \circ \sigma = \text{Id}_U$  implies

$$
F|_{U} = F|_{U} \circ \mathrm{Id}_{U} = F|_{U} \circ (\pi \circ \sigma) = (F|_{U} \circ \pi) \circ \sigma,
$$

which is a composition of smooth maps. It follows from [Exercise Sheet 3, Exercise 2] and [*Exercise Sheet 3, Exercise 3*] that  $F$  is smooth.

**Exercise 4:** Let M and N be smooth manifolds, and let  $\pi: M \to N$  be a surjective smooth submersion. Show that there is no other smooth manifold structure on N that satisfies the conclusion of *Exercise* 3; in other words, assuming that  $\tilde{N}$  represents the same set as N with a possibly different topology and smooth structure, and that for every smooth manifold P, a map  $F: \overline{N} \to P$  is smooth if and only if  $F \circ \pi$  is smooth, show that  $\mathrm{Id}_N$  is a diffeomorphism between N and N.

**Solution:** Denote by  $\mathrm{Id}_N$ , respectively  $\mathrm{Id}_{\widetilde{N}}$ , the identity map of N, respectively  $\widetilde{N}$ , with the smooth structure of  $N$ , respectively  $N$ , on both the source and the target. Denote also by Id<sub>N</sub> $\tilde{N}$ , respectively Id<sub> $\tilde{N}$ </sub>, the identity map, where on the source, respectively on the target, we put the smooth structure of  $N$ , and where on the target, respectively on the source, we put the smooth structure of N. In addition, denote by  $\pi_N$ , respectively  $\pi_{\widetilde{N}}$ , the surjective smooth submersion with the smooth structure of N, respectively of N, on the target. Now, note that

$$
\mathrm{Id}_{N,\widetilde{N}}\circ\pi_N=\pi_{\widetilde{N}},
$$

which is smooth, so by the assumption on N applied to  $P = \tilde{N}$  and  $F = Id_{N,\tilde{N}}$  we conclude that  $\mathrm{Id}_{N\tilde{N}}$  is smooth. On the other hand, we also have

$$
\mathrm{Id}_{\widetilde{N},N}\circ\pi_{\widetilde{N}}=\pi_N,
$$

which is smooth, so by the assumption on  $\widetilde{N}$  applied to  $P = N$  and  $F = Id_{\widetilde{N}N}$  we conclude that  $\operatorname{Id}_{\tilde{N},N}$  is smooth. Hence,  $\operatorname{Id}_{N,\tilde{N}}$  is a diffeomorphism with inverse  $\operatorname{Id}_{\tilde{N},N}^{N,N}$ .

Exercise 5 (The converse of Exercise 3 is false): Consider the map

$$
\pi \colon \mathbb{R}^2 \to \mathbb{R}, \ (x, y) \mapsto xy.
$$

Show that  $\pi$  is surjective and smooth, and that for each smooth manifold P, a map  $F: \mathbb{R} \to P$  is smooth if and only if  $F \circ \pi$  is smooth; but  $\pi$  is not a smooth submersion.

**Solution:** Both the smoothness and the surjectivity of  $\pi$  is clear. Therefore, if a map  $F: \mathbb{R} \to P$  is smooth, then  $F \circ \pi$  is also smooth by [*Exercise Sheet 3, Exercise 3*]. Now, assume that we have a smooth manifold P and a map of sets  $F: \mathbb{R} \to P$  such that  $F \circ \pi$ is smooth. Consider the map

$$
\iota \colon \mathbb{R} \to \mathbb{R}^2, \ x \mapsto (x, 1),
$$

which is clearly smooth and additionally satisfies  $\pi \circ \iota = \mathrm{Id}_{\mathbb{R}}$ . Hence, the map

$$
F = F \circ \mathrm{Id}_{\mathbb{R}} = (F \circ \pi) \circ \iota
$$

is smooth. Finally, note that the Jacobian of  $\pi$  is given by  $(y \ x)$ , which vanishes at  $(x, y) = 0$ , so  $\pi$  is not a smooth submersion.

**Exercise 6** (Pushing smoothly to the quotient): Let  $\pi: M \to N$  be a surjective smooth submersion. Prove the following assertion: If P is a smooth manifold and  $F: M \to P$  is a smooth map that is constant on the fibers of  $\pi$ , then there exists a unique smooth map  $\widetilde{F}: N \to P$  such that  $\widetilde{F} \circ \pi = F$ .



**Solution:** We define a set-theoretic function  $\widetilde{F}: N \to P$  as follows: as  $\pi$  is surjective, there exists a set-theoretic right inverse  $s: N \to M$ , i.e.,  $\pi \circ s = \text{Id}_N$ , and now we set  $\widetilde{F} := F \circ s$ . Let us verify that we indeed have  $\widetilde{F} \circ \pi = F$ . Let  $x \in M$  be arbitrary. Then x and  $s(\pi(x))$  both get mapped to  $\pi(x)$  by  $\pi$ , and hence both are elements of the fiber  $\pi^{-1}(\pi(x))$ . Since F is constant on the fibers of  $\pi$  by hypothesis, we obtain

$$
\widetilde{F}(\pi(x)) = F(s(\pi(x))) = F(x).
$$

As  $x \in M$  was arbitrary, we conclude that  $\widetilde{F} \circ \pi = F$ , as claimed. Clearly,  $\widetilde{F}$  is unique with this property: if  $\overline{F}'$  is any other such function, then

$$
\widetilde{F}' = \widetilde{F}' \circ \pi \circ s = F \circ s = F.
$$

Finally, as F is smooth, by Exercise 3 we conclude that  $\widetilde{F}$  is smooth.

**Exercise 7** (Uniqueness of smooth quotients): Let  $\pi_1: M \to N_1$  and  $\pi_2: M \to N_2$  be surjective smooth submersions that are constant on each other's fibers. Show that there exists a unique diffeomorphism  $F: N_1 \to N_2$  such that  $F \circ \pi_1 = \pi_2$ :



**Solution:** Since  $\pi_1$  is a surjective smooth submersion and since  $\pi_2$  is constant on the fibers of  $\pi_1$ , by *Exercise* 6 there exists a unique smooth map  $G_1: N_1 \to N_2$  such that  $G_1 \circ \pi_1 = \pi_2$ :



By reversing now the roles of  $\pi_1$  and  $\pi_2$ , we see that there exists a unique smooth map  $G_2: N_2 \to N_1$  such that  $G_2 \circ \pi_2 = \pi_1$ :



We thus obtain the identities

$$
G_2 \circ G_1 \circ \pi_1 = \pi_1 \tag{*}
$$

and

$$
G_1 \circ G_2 \circ \pi_2 = \pi_2. \tag{**}
$$

Considering the diagram



and observing that  $\mathrm{Id}_{N_1} \circ \pi_1 = \pi_1$ , we deduce by (the uniqueness part of) Exercise 6 and (∗) that

$$
G_2\circ G_1=\mathrm{Id}_{N_1}.
$$

Considering now the corresponding diagram for  $\pi_2$  and using (\*\*) instead, we infer similarly that

$$
G_1 \circ G_2 = \mathrm{Id}_{N_2} \, .
$$

Hence,  $F \coloneqq G_1 \colon N_1 \to N_2$  is a diffeomorphism such that  $F \circ \pi_1 = \pi_2$ , which is unique (with this property) by construction.