Organic materials based photovoltaics

- **3.** Introduction (9:00-9:55)
- 3.1. Organic Semiconductors (10:05 12:30)
 - A Molecular Orbitals
 - **B** Electronic Properties
 - C Absorption and Fluorescence
 - D Exciton Theory, Energy & Electron Transfer

3.2. Organic and Dye Sensitized Solar Cells (13:30 - 15:15)

- A Organic Solar Cells
- B Dye Sensitized Solar Cells

3.3. Hybrid Solar Cells - Perovskites Quantum Dots (15:15-17:00)

- A Perovskite Solar Cells
- B Quantum Dot Solar Cells











Molecular crystals?

- Electroluminescence in anthrazene single crystals (1963)
- Injected charges



The discovery of conducting polymers 1977



Hideki Shirakawa





Alan G. MacDiarmid

Alan J. Heeger

Nobel prize in chemistry 2000

- Polyacetylene become conductive upon doping
- Conjugation creates an orbit that allows the charge carriers to move



Why organic electronics? – it is a new technology, where are the benefits?

- Increased Efficiency: New technologies often bring with them improved processes and automation capabilities
 - Low cost, mass produce by printing
- Enhanced Customer Experience
 - True for self-emissive pixels in oLEDs: broad color spectrum, fast response time, wider viewing angle, less power
- Market Expansion: new technologies can open up untapped markets and create new revenue streams
 - Polymer TFTs enable flexible displays







Flexible, printed, organic electronics

- Often used interchangeable
- Strictly spoken:
 - Flexible: does not need to be organic
 - Printed: does not need to be organic



Plastic 12-bit RFID Tag and Read-out System with Screen-Printed Antenna

 Organic: does not need to be flexible or printed



Flexible, printed, organic photovoltaics



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Applications for organic printed electronics



Image source: InnovationLab

Success story oLEDs



Display and Photovoltaic Market Size by Technology (Mio US \$)



• Why oLED but no oPV?

- "Similar" technology
- Same discovery time



Global PV Materials Market, 2018 Grand View Market

Why oLED and no oPV

• Obvious advantages over dominating LCD technology:

 Self-emissive pixels in oLEDs: broad color spectrum, fast response time, wider viewing angle, less power, can be made flexible - oPV less efficient, less stable than Si; only potential to be printable

• Large companies jumped in:

• Efforts to industrialize oPV was mainly done by Start-ups

Price structure:

 Displays «can» be more expensive: IHS released its LCD and OLED smartphone display cost model: 5.7" 2560x1440 rigid OLED costs \$18.62 to produce, a 5.7" full-display 2880x1440 LCD costs \$15.39 to produce (source HIS) – oPV has to compete with the decreasing prices of Si-modules

Cost of energy



"Lazard's Levelized Cost of Energy Version 14.0" (PDF). Lazard.com. Lazard. 19 October 2020.

Why oLED and no oPV

oLED started small:

- oPV needs large area modules, or less attractive niche markets
- Large companies jumped in:
 - Efforts to industrialize oPV was mainly done by Start-ups

Price structure:

 Displays «can» be more expensive: IHS released its LCD and OLED smartphone display cost model: 5.7" 2560x1440 rigid OLED costs \$18.62 to produce, a 5.7" full-display 2880x1440 LCD costs \$15.39 to produce (source HIS) – oPV has to compete with the decreasing prices of Si-modules

• Exposure to harsh environmental conditions:

• Displays not really exposed

Where oPV can profit from oLED research

 Acceptable levels of exposure to water vapour and oxygen is much smaller in oLEDs than in oPV



Gartner Hype Cycle



Best Research-Cell Efficiencies



Solar cell efficiency refers to the portion of energy in the form of sunlight that can be converted via photovoltaics into electricity by the solar cell.

oPV – the path to commercial success

- Today: cannot beat the price of silicon photovoltaics, today's solutions are not yet printed (but evaporated)
- *Efficiency* is important, allows to bring \$/W down
- Can serve *niche markets* (5% of photovoltaics market), light weight building integrated photovoltaics, semitransparency in windows)



 Much lower *carbon footprint* (5–9 g CO₂ / kWh, a factor of 10 lower than for Silicon photovoltaics)

Perovskite solar cells – the path to success

- Today: cannot beat the price of silicon photovoltaics
- Still *issues* with *stability, module efficiency, manufacturing*
- Niche markets (5% of photovoltaics market): in-door applications



http://perovskia.solar.com

Si-Perovskite tandem cells



www.oxfordpv.com

Photosynthesis – the principle

With the help of *light absorbing molecules*, *light energy* is converted in *chemical energy*

$$6 \operatorname{CO}_2 + 12 \operatorname{H}_2 O \xrightarrow{hv} C_6 \operatorname{H}_{12} O_6 + 6 \operatorname{O}_2 + 6 \operatorname{H}_2 O_6$$

Photosynthetic organisms use *solar energy* to *synthesize carbon compounds* that cannot be formed without the input of energy

$$CO_2 + H_2O \xrightarrow{hv} CH_2O + O_2 \qquad \Delta G^0 = 478 \text{ kJ/mol } CO_2$$

Photosynthesis – light reaction

Overall process of photosynthesis is a *redox* chemical reaction

Electrons are removed from water (*oxidation*) and added to CO₂ (*reduction*)

When chlorophyll *absorbs energy* from sunlight, an electron in the chlorophyll *molecule is excited* from a lower to a higher energy state

Electron transport chain:

Chain of molecules that easily accept and donate electrons



Organic semiconductors *≠* **biomaterials**





Organic compounds

- are any chemical compounds that contain *carbon-hydrogen bonds*.
 Due to carbon's ability to catenate (form chains with other carbon atoms), millions of organic compounds are known
- Generally they are *electrical insulators*.



Band gap – Optical properties and more...



- Metals: charge carriers in conduction band (=«band» of electron orbitals)
- Semiconductors: electrons can be thermally excited into the conduction band
- □ Insulators: Bandgap > 4 eV

Conducting polymers

Synthesize individual soluble building blocks that absorb in the visible domain







Polyacetylene

Polythiophene

Poly(p-phenylene)



Poly(*p*-phenylenevinylene)

Poly-p-phenylene-sulphide

Solution processable, printable

Semiconductor properties

- Depend on the very nature of the semiconducting materials
- Dictated by the interaction between constituting building blocks (atoms or molecules).
- Depend on the structure of the material (crystalline, amorphous, disordered)

	Inorganic SC	Organic SC
Absorption (cm ⁻¹)	10 ³ -10 ⁴ (Si)	10 ⁵ -10 ⁶ (fluorescent dyes)
	10 ⁴ -10 ⁵ (GaAs)	10 ⁴ -10 ⁵ (CT compounds, Triplet emitters)
Charge carrier mobility (cm² V ⁻¹ s ⁻¹)	c-Si: 1500 (e⁻), 450 (h⁺) GaAs: 8500 (e⁻),400 (h⁺) a-Si: 1	c-anthracene:1.6(e ⁻), 1.2 (h ⁺) c-fullerene C_{60} :1.1(e ⁻),1.0 (h ⁺) amorphous OSC: 10 ⁻⁵ to 10 ⁻³
	Rely on existing materials and doping	Adapt properties by custom synthesizing materials

Summary

□ Part of the emerging field of printed/organic electronics

Difference in properties between inorganic and organic SCs has significant influence on the working principle of organic solar cells.

Printed oLED displays



LJDI Japan Display Inc. SONY HITACHI

TOSHIBA

TCL

Organic Photovoltaics (oPV)



source: Belectric GmbH

Thin film devices with polymer semiconductors:











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3.1A Molecular Orbitals















3.1A Molecular Orbitals

Learning goals

- What is the origin of electrical conductivity in organic carbon compounds?
 - □ What is an *orbital* ? Quantum mechanical description
 - How can you describe the *electronic wavefunction of molecules (molecular orbitals, hybrid orbitals)*
 - Resonance transfer integral

Chemical bonds – Lewis structure

- □ The formation of *molecules* is build upon *covalent bonds*
- The covalent bond involves the sharing of electron pairs between atoms, the balance between attractive & repulsive forces will lead to bonding



- Shared electron pairs provides an effective *qualitative picture* of covalent bonding
- For many molecules, the sharing of electrons allows each atom to attain the *equivalent of a full valence shell*, corresponding to a stable electronic configuration

https://www2.chemistry.msu.edu/faculty/reu sch/VirtTxtJml/intro2.htm

What is an orbital and why are they important for organic semiconductors?

- Lewis structures are diagrams that show the bonding between atoms of a molecule, as well as the lone pairs of electrons that may exist in the molecule
- □ An *orbital* is a *one electron wavefunction* that describes the probability to find the electron at a certain location.
- □ The use of orbitals therefore is a good approximation to describe the electronic structure of a complex multi-electron system.



Quantum mechanical description

Time-dependent many-body Schrödinger equation with electrons at positions $\vec{r} = (r_1, r_2, ..., r_N)$ and nuclear positions $\vec{R} = (R_1, R_2, ..., R_M)$:

$$\widehat{H}\psi(\vec{r},\vec{R},t) = i\hbar \; \frac{d\psi(\vec{r},\vec{R},t)}{dt}$$

Time independent Hamiltonian \hat{H} (stationary states):

$$\psi(\vec{r},\vec{R},t) = \psi(\vec{r},\vec{R})e^{-iEt/\hbar} \qquad \qquad \widehat{H}\psi(\vec{r},\vec{R}) = E\,\psi(\vec{r},\vec{R})$$

Electronic wavefunctions only: $\hat{H}\psi^{el}(\vec{r}) = E \psi^{el}(\vec{r})$

The particle in a box - a simple model of an atom



Calculate the energy levels and wavefunctions for one electron:

$$-\frac{\hbar^2}{2m}\frac{d^2}{dx^2}\psi(x) = E\psi(x)$$

Solutions are standing waves:

$$\psi(x) = Ae^{ikx} + B^{-ikx}$$

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Boundary conditions (probability to find particle = 0) $\psi(0) = 0 \rightarrow 0 = A + B \rightarrow B = -A$ $\Rightarrow \psi(x) = Ae^{ikx} - Ae^{-ikx} = A2i\sin kx = A'\sin kx$ $\psi(L) = 0 \rightarrow A' \sin(kL) = 0 \rightarrow k = n \frac{\pi}{I}$ with n = 1, 2, 3... $\Rightarrow E = \frac{\hbar^2 \pi^2 n^2}{2mL^2} = \frac{\hbar^2 n^2}{8mL^2} \rightarrow n = 1, 2, 3...$ $\Rightarrow \psi = A' \sin\left(\frac{n\pi}{L}x\right) \quad \text{with} \quad A' = \sqrt{\frac{2}{L}}$

Normalisation of $\boldsymbol{\psi}:$

$$1 = \int_{0}^{L} \psi^{*} \psi = A'^{2} \int_{0}^{L} \sin^{2} kx dx = A'^{2} \int_{0}^{L} \frac{\sin^{2} \left(\frac{n\pi}{L}x\right) dx}{\frac{1}{2}} = A'^{2} \frac{L}{2}$$
$$\Rightarrow A' = \sqrt{\frac{2}{L}}$$

Solutions of the particle in a box problem are standing waves:

Respecting the Pauli principle ("if two electrons, or in general Fermions, occupy the same electron orbital, they must have different spin states", i.e. up and down, respectively)

$$\Rightarrow \psi = A' \sin\left(\frac{n\pi}{L}x\right) \quad \text{with} \quad A' = \sqrt{\frac{2}{L}}$$

 ψ is the particle wavefunction. The probability amplitude. $I\psi(x) I^2 dx$ is the probability of finding the particle between x and x+dx



H-atom orbitals

exact analytical solution can be developed by solving:

$$-\frac{\hbar^{2}}{2m}\left(\frac{\partial^{2}}{\partial x^{2}} + \frac{\partial^{2}}{\partial y^{2}} + \frac{\partial^{2}}{\partial z^{2}}\right)\psi(x, y, z) - \frac{e^{2}}{4\pi\varepsilon\varepsilon_{0}\sqrt{x^{2} + y^{2} + z^{2}}}\psi(x, y, z) = E\psi(x, y, z)$$
This problem is solved using polar coordinates.

$$E_{n} = -\frac{13.6 \, eV}{n^{2}}$$
The hydrogen atom wavefunctions are given by: n=2
$$\frac{3s}{2s} = \frac{3p}{2s}$$

$$\psi_{n,l,m}(r, \theta, \phi) = R_{n,l}(r) \cdot Y_{l}^{m}(\theta, \phi)$$
(Legendre polynomials as a function of spherical coordinates)
Meaning of the different quantum numbers n, l, m :
 $n = 1, 2, 3, ..., n-1$ azimuthal quantum number
 $m = l, l - 1, l - 2, 0, - l$ magnetic quantum number
H-atom orbitals

The <u>orbital</u> here is defined as the volume where the probability of finding the electron is 90%



Molecular orbital theory

- Only for the H-atom an analytical solution for the orbitals can be found
- Neglect interactions between electrons = reduce a many-electron problem to many one-electron problems
- Molecular orbital theory revolutionized the study of chemical bonding by approximating the states of bonded electrons—the molecular orbitals—as linear combinations of atomic orbitals (LCAO):

$$\psi_j \approx \prod_i c_{ij} \varphi_i$$

 $\varphi_i := atomic \ o \ r \ b \ i \ t \ a \ l \ s$

Building molecules from atoms – hydrogen molecule

□ **Apply the concept of orbitals** (which was the key to the understanding of the electronic structure of atoms) **to molecules**.



- Molecular orbitals are obtained by combining the atomic orbitals
- In the hydrogen molecule you can construct in-phase and out-of-phase combinations of H 1s orbitals
- Leading to bonding (σ) or antibonding (σ*) orbitals

Molecular orbitals of the second energy level

□ These are the ones of interest when looking at organic molecules



Molecular orbitals of the second energy level

Pi (π) bonds are weaker and are due to lateral overlap between p (or d) orbitals



Building molecules from atoms – sp³ hybridization



Organic

Building molecules from atoms – sp² hybridization



Building molecules from atoms – sp hybridization



The Hückel MO model

- Successfully applied to *large conjugated systems*, especially those containing chains of carbon atoms with alternating single and double bonds.
- Within the Hückel approximation, the covalent bonding in these hydrocarbons can be separated into two independent "frameworks": the σ-bonding framework and the π-bonding framework.



Describe the wavefunction as linear combination of atomic orbitals (LCAO), so these are the two 2p_z orbitals:

$$\psi_{orbital} = c_{A} \psi_{pz} (A) + c_{B} \psi_{pz} (B)$$

□ Minimize E with respect to c_A and c_B :

$$E = \frac{\int \psi_{orbital} H \psi_{orbital} dx}{\int \psi_{orbital}^2 dx} \qquad \longrightarrow \frac{\partial E}{\partial_{C_A}} = 0 \quad , \quad \frac{\partial E}{\partial_{C_B}} = 0$$

Let us first calculate:

$$\int \psi_{orbital}^{2} dx = \int c_{A}^{2} \psi_{pz}^{2} (A) dx + \int c_{B}^{2} \psi_{pz}^{2} (B) dx + 2c_{A} c_{B} \underbrace{\int \psi_{pz} (A) \psi_{pz} (B) dx}_{S}$$

= $c_{A}^{2} \underbrace{\int |\psi_{pz} (A)|^{2} dx}_{=1} + c_{B}^{2} \underbrace{\int |\psi_{pz} (B)|^{2}}_{=1} dx + 2c_{A} c_{B} \cdot S$
S...overlap integral

$$\int \psi_{\text{orbital}} H \psi_{\text{orbital}} dx = \int (c_A \psi_{pz}(A) + c_B \psi_{pz}(B)) H (c_A \psi_{pz}(A) + c_B \psi_{pz}(B)) dx$$
$$= c_A^2 \underbrace{\int \psi_{pz}(A) H \psi_{pz}(A) dx}_{\alpha} + c_B^2 \underbrace{\int \psi_{pz}(B) H \psi_{pz}(B) dx}_{\alpha} + 2c_A c_B \underbrace{\int \psi_{pz}(A) H \psi_{pz}(B) dx}_{\beta}$$

A: Coulomb integral < 0; account for the interactions between the nuclei, the electrons, and the nuclei and electrons, more precise: interaction of the electrons around one nuclei with the other proton.

B: Resonance (exchange) integral < 0; of qm origin; no simple physical interpretation, but it can be shown to arise entirely due to the anti-symmetry requirements;

probability A \longleftrightarrow B $E = \frac{\overbrace{\alpha (c_A^2 + c_B^2) + 2c_A c_B \beta}}{\overbrace{c_A^2 + c_B^2 + 2c_A c_B \cdot S}} \left(\frac{f}{g} \right) = \frac{1}{g^2} [f'g - fg']$

$$\frac{\partial E}{\partial c_A} = 0 \rightarrow \frac{1}{g^2} \left[(2\alpha c_A + 2\beta c_B)g - f(2 c_A + 2c_B \cdot S) \right] = 0$$

$$2\alpha c_A + 2c_B\beta - \frac{f}{g}(2c_A + 2c_B \cdot S) = 0$$

$$\rightarrow c_A(\alpha - E) + c_B(\beta - ES) = 0$$
and in analogy
$$\frac{\partial E}{\partial c_B} = 0 \rightarrow c_A(\beta - ES) + c_B(\alpha - E) = 0$$

$$\left. \right\}$$
(3)

(3) is a linear homogeneous system of equations with unknown c_A and c_B . The solution consists of setting the secular determinant equal to zero.

$$Det\begin{bmatrix} (\alpha - E) & (\beta - ES) \\ (\beta - ES) & (\alpha - E) \end{bmatrix} = 0$$

This can be solved, but is a bit tedious. We would like to make an additional approximation (small orbital overlap S):

$$Det \begin{bmatrix} (\alpha - E) & \beta \\ \beta & (\alpha - E) \end{bmatrix} = 0$$

□ solving for E:

$$(\alpha - E)^2 - \beta^2 = 0 \rightarrow \alpha^2 - 2\alpha E + E^2 - \beta^2 = 0$$

$$E^2 - 2\alpha E + \alpha^2 - \beta^2 = 0$$

$$E \pm = \frac{2\alpha \pm \sqrt{4\alpha^2 - 4(\alpha^2 - \beta^2)}}{2} = \alpha \pm \beta$$



□ Finding the coefficients c_A , c_B (and hence the wavefunctions), For $E = E_+$:

In the same way one obtains for $E = E_{-}$

$$\psi_{-} = \frac{1}{\sqrt{2}} \left(\psi_{pz} \left(\mathbf{A} \right) - \psi_{pz} \left(\mathbf{B} \right) \right)$$





The infinite conjugated chain: polyacetylene I

Hückel approximations:

- All overlap integrals are set to zero S=0
- All resonance integrals between non-neighbors are zero
- All resonance integrals between direct neighbors are set to β

$$Det \begin{bmatrix} \alpha - E & \beta & 0 & 0 & \dots \\ \beta & \alpha - E & \beta & 0 & \dots \\ 0 & \beta & \alpha - E & \beta & \dots \\ 0 & 0 & \beta & \alpha - E & \dots \\ \dots & \dots & \dots & \dots & \dots \end{bmatrix} = 0$$

The infinite conjugated chain: polyacetylene II





where $\Psi_{2p_z}(n)$ are the C2 p_z orbitals at site *n*.

Theory predicts *trans*-PA to be a metal

Hückel predicts no band gap when n gets very large:



The infinite conjugated chain: polyacetylene III



Hückel predicts no band gap when n gets very large:

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The infinite conjugated chain: polyacetylene

U Why is Polyacetylene a semi-conductor and not a metal?



Dimerisation (Peierls distortion) opens up a gap with

$$E_g = 2 |\beta_1 - \beta_2|$$

3.1A Molecular Orbitals

Learning outcome

How are molecules held together (molecular bonds)

- Covalent bonds
- □ What is an *orbital* ? Quantum mechanical description
 - An orbital is a one electron wavefunction that describes the probability to find the electron at a certain location
 - Particle in a box
 - Hydrogen orbitals

□ How can you describe the *electronic wavefunction of molecules*

- *Molecular orbitals, sp³, sp², sp hybridization*
- Hückel molecular orbital theory: coupling of atomic orbitals, band splitting via resonance (exchange) interaction

Origin of electrical conductivity in organic carbon compounds:

*sp*² *hybridization in carbon and graphite*

3.1A Molecular Orbitals

Learning outcome

□ Infinite *conjugated polymers (intramolecular conductivity)*:

- Conjugated polymers: delocalized system of π-electrons runs along the polymer backbone
- Bond length alternation opens up a band-gap (semiconductor)

3.1B Electronic properties of organic semiconductors

Learning goals

□ From *delocalized π*-orbitals to conductivity – 2 main questions:

- Where do charges come from? Intrinsic / extrinsic semiconductors doping of organic semiconductors
- Conduction mechanism in organic semiconductors: is it really band transport?

Thermodynamics of electron transfer, Marcus theory

Definitions: mobility and conductivity

• *Electrical contact* to devices

The infinite conjugated chain: polyacetylene III

Intramolecular conductivity



\Box The construction of delocalized π -orbitals

Within the conjugated structure, π -orbitals are delocalized. This delocalization forms the basis of the molecular conductivity of carbon compounds.

But: delocalized π -orbitals **allow for mobile charges**, to make them **conductive**, also **charge carriers** are needed.

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Intrinsic semiconductors

- Also called an undoped semiconductor or i-type semiconductor, is a pure semiconductor without any significant dopant species present
- The conductivity of intrinsic semiconductors is purely due to thermal excitation of carriers from the valence band to the conduction band.

Silicon:

$$N_c = 2.82 \ 10^{19} \ cm^{-3}, N_v = 1.83 \ 10^{19} \ cm^{-3}$$

 $E_g = 1.1 eV$
 $\mu = 1000 \ cm^2 \ V^{-1} \ s^{-1}$
 $\sigma = 10^{-6} \ \Omega^{-1} \ cm^{-1}$



 $n_{\rm intr}$ is the intrinsic charge density, $N_{\rm eff}$ is the density of states.

Organic semiconductors: $N_0 = 10^{21} \text{ cm}^{-3}$ $E_g = 2.0 \text{ eV}$ $\mu = 1 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ $\sigma = 10^{-12} \Omega^{-1} \text{ cm}^{-1}$

 N_c and N_v are the density of states of the conduction and valence band, respectively, E_q is the band gap and N_0 is the molecular density.

Extrinsic semiconductors

- Organic semiconductors get their conductivity from extrinsic factors:
 - Dopants
 - Photosensitization
 - Injection
- An extrinsic semiconductor is one that has been *doped*; during manufacture of the semiconductor crystal a trace element or chemical called a doping agent has been incorporated chemically into the crystal



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Doping of organic semiconductors:

□ How can you dope organic semiconductors?



In most organic materials, the HOMO is around −5 to−6 eV below the vacuum level. A *p-type dopant* therefore has to act as a very *strong electron acceptor*. Similarly, *n-type dopants* had to have a *HOMO level near −2.5 to −3.5 eV*

Doping of polymers

- Either partial oxidation with electron acceptors (i.e. anions, p-doping) or partial reduction with electron donors (i.e. cations, n-doping).
- Charged defects, such as polarons, bipolarons and solitons, are introduced into the polymer structure as a result of the doping process.
- A polaron is a quasiparticle composed of a charge and its accompanying polarization field
- These defects then play the role of charge carriers. This is analogous to the p and n doping of Si
- Band-like charge transport along the chain



RSC Adv.,2015, 5,1161



Doped conjugated polymers and doped molecular crystals can span a **whole range of conductivities**



Pentacene doped with iodine

Band-like carrier transport in organic single crystals of high purity

In band like carrier transport, mobility has the following T dependence due to increased scattering at acoustic phonons, impurities and electron interactions:

$\mu \propto T^{-n}$

- The resonance integral β determines the strength of electronic coupling between the molecules
- β in organics is about one order of magnitude lower than in inorganics



Warta, W., Karl, N., Phys. Rev. B, 32 (2), 1172, 1985.

Band transport and Hopping transport

- Band transport is effective when *the electronic coupling* β is larger than any other energy terms due static or dynamic disorder.
- If coupling to molecular vibrations (called local phonon coupling, not to be mixed up with lattice vibrations) becomes comparable to electronic coupling, then the band model is inappropriate
- Even if there is no band transport: still conductivity notably by a *thermally activated hopping regime*, which applies to most amorphous films used in organic optoelectronics.



Polymer International, Volume: 2019, 68, 4, 620-636, DOI: (10.1002/pi.5768)

Band transport and Hopping transport II

Organics can be molecular crystals, molecular glasses, polymeric glasses, all will give different transport mechanisms, dominated by either *electronic coupling, inter- and intra molecular vibrations, static inter- and intramolecular disorder*



A. Köhler and H. Bässler Electronic Processes in Organic Semiconductors, Wiley-VCH, Weinheim, 2015.

Thermodynamics of electron transfer

- Marcus' theory of electron transfer was originally developed to describe electron transfer processes in solution, but the framework is well suited to describe a hopping process
- The idea: two things will happen: changes in the molecule (size, bondlength, ...) and changes in the environment (dipoles of the solvent molecules will "screen" the charges)



Thermodynamics of electron transfer



It is assumed that the free energy or potential of mean force for the initial and final state is well represented by two parabolas.

Different ways to get form "A" to "B":

- Invest the so-called reorganization energy (λ) to get onto the D+ + A- branch before relaxing to the new position (Libby).
- Solvent molecules can rearrange in synch with electron transfer, lowering the energy barrier:

$$k_r = \nu_n e^{-\Delta G^{\ddagger}/RT}$$
 $\Delta G^{\#} = \frac{\lambda}{4}$

Conduction in molecular solids

- Mobility µ: when a charged particle in a gas or liquid is acted upon by a uniform electric field, it will be accelerated until it reaches a constant drift velocity v_d.
- A semiconducting material can be best assessed by its conductivity σ. It is defined as the ratio of the density of the current J to the electric field E. n is the charge carrier density (m⁻³).
- For conductivity we thus need to look at the product of mobility and charge carrier density

$$\begin{bmatrix} v_d = \mu E \\ J = nev_d \end{bmatrix} \quad J = ne\mu E \\ J = \sigma E \end{bmatrix} \quad \boldsymbol{\sigma} = ne\mu$$

Charge carrier mobilities μ at room temperature for organic and inorganic semiconductors (in cm²/Vs)

$$J = nev_{drift} = ne\mu E$$

Inorganic semiconductors
crystalline
Si 1500 (e⁻) 450 (h⁺)
Ge 3900 (e ⁻) 1900 (h ⁺
GaAs 8500 (e ⁻) 400 (h ⁺
InAs 80000 (e⁻) 1250 (h⁺)
<i>amorphous</i> Si ≈ 1

т

M. Pope, C. E. Swenberg, "Electronic Processes in Organic Crystals and Polymers", Oxford University Press, 1999 S. M. Sze «Physics of semiconductors», Wiley, New York, 1981

Basic Aspects of Electrical Currents in a Device

□ Either limited by the efficiency of charge injection:

Injection limited current

or by the ability of the device to transport the charges:

Space charge limited current (SCLC)

Contacting organic opto-electronic devices

Contacts are chosen to provide barrier-free injection of charge carriers into the device. This implies that the Fermi energy of the contact has to be aligned to relevant molecular orbital, i.e. to the LUMO if electrons are injected or to the HOMO, if holes are injected.

Due to the large bandgap of organic semiconductors, specific contacts allow the injection of one type of charge carriers (e.g. electrons into the LUMO) and block injection of the other charge carrier type (e.g. holes into the HOMO).


Band bending



From: Z. Zhang, J.T. Yates: Band Bending in Semiconductors, Chem. Rev 2012, 112, 5520 Organic materials based photovoltaics, Chapter 3.1B Electronic properties, Nr.17

Space charge limited current

The semiconductor is assumed to be undoped, diffusion currents are neglected, current density *J* for one type of charge carriers (holes or electrons but not both together):

Charge in device:
$$q = CV = \varepsilon_r \varepsilon_0 \frac{V}{L}$$

Maximum current through device: $j = \frac{q}{\tau_{trav}} \implies j = \mu \varepsilon \varepsilon_0 \cdot \frac{V^2}{L^3}$

Travel time : $au_{trav} = \frac{L}{\mu E} = \frac{L^2}{\mu V}$

Mott and Gurney (1948) performed a proper calculation:

$$\mathbf{j} = \frac{9}{8}\mu\varepsilon\varepsilon_0\cdot\frac{V^2}{L^3}$$

where ε is the dielectric constant, V is the applied voltage and L is the thickness of the molecular solid sandwiched between two electrodes. E is the electric field

Other methods to determine mobility

- Time-of-flight
- Current transient methods (CELIV)
- □ Transistor mobility measurement (OFET)
- Transient Stark spectroscopy
- Electron spin resonance measurement (ESR)

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3.1B Electronic properties of organic semiconductors

Learning outcome

- □ *Conduction mechanism* in organic semiconductors
 - Band like transport is possible, but only in the "weak electronphonon coupling regime". The general case is a hopping mechanism. In polymers band like transport along the chain, hoping between chains.

□ *Intrinsic / extrinsic* semiconductors

 Organic intrinsic semiconductors are more or less *insulators*, conduction by *doping, injection or excitation*

Thermodynamics of electron transfer, Marcus theory

Describes a transfer rate mediated by a nuclear coordinate

Contacts to devices

Space charge and injection limited currents

Organic materials based photovoltaics, Chapter 3.1B Electronic properties, Nr.20

3.1C Absorption and fluorescence

Learning goals

- Mathematical frame work of optical transitions
 - Selection rules
- Relate absorption to molecular properties
- Relaxation mechanisms of optically excited molecules



Optical transitions

- □ The electric component of the radiation (the optical field E_{opt}) interacts with the charges of the molecule.
- □ In first approximation, the interaction is between the optical field E_{opt} and the electric dipole moment μ of the molecule.

$$\vec{\mu} = e\vec{r}$$

- Molecular dipole moments are usually given in Debye (D), where 1D = 3.336·10⁻³⁰ Cm.
- The problem can only be solved with quantum mechanics: time dependent perturbation theory, adding the radiation field to the unperturbed Hamiltonian:.

$$\hat{H}_{total} = \hat{H}_0 + \hat{H}'$$
 where $\hat{H}' = \vec{\mu} \cdot \vec{E}_{photon}$

□ We only look at a two-level system, the ground state with wavefunction ψ_0 (and corresponding energy E_0) and the excited state with wavefunction ψ_1 (and corresponding energy E_1)

Conditions for the absorption of a photon:

$$h \nu = E_1 - E_0$$

2) $\mu_{1,0} = \langle \psi_1 | \vec{\mu}_{10} | \psi_0 \rangle \neq 0$ where $\mu_{1,0}$ is the transition dipole moment.

Note: this a non-diagonal element of the Dipol operator, for which there is no classical analogon. This is not the difference in dipolmoment between the ground state and the excited state.

- The transition dipole moment tells you how well a molecule can couple to electromagnetic radiation, and in that sense it tells how strong a molecule absorbs light.
- □ The *transition probability P* is proportional to the square of the transition dipole moment μ^2 and the energy density of the radiation field *E*²:

$$P \propto \left| \mu_{1,0} \right|^2 \cdot \left| E \right|^2$$

Born-Oppenheimer (BO) approximation: the motion of atomic nuclei and electrons in a molecule can be treated separately

$$\psi = N \cdot E = N\varphi S$$

where φ is the electronic spatial wavefunction, S is the spin wavefunction and N is the nuclear wavefunction.

$$\mu_{1,0} = \langle \psi_1 | \vec{\mu} | \psi_0 \rangle = \langle N_0 | N_1 \rangle \langle \varphi_1 | \vec{\mu} | \varphi_0 \rangle \langle S_0 | S_1 \rangle$$

A transition is said to be forbidden, if any of the above terms is zero.

a) overlap and symmetry of **spatial wavefunction** => **answers by Group theory**

- b) *nuclear wavefunction* (vibrational / rotational) with respect to overlap
- c) spin wavefunction with respect to spin conservation => spin conservation

Vibronic fine structure



Transitions also show a vibrational structure, originates from the nuclear wavefunction

Not described by the Born-Oppenheimer approximation: the dipol operator was not acting on the nuclear wave function

b) Diatomic molecule

The relative motion of two nuclei can therefore be described in terms of the motion of a fictious particle of reduced mass μ moving in a central potential.

□ The energy of a vibration is quantized

For small pertubations, a parabolic potential can be used. This potential energy is associated with the force that binds the two atoms together.



Solving the Schrödinger equation yields the eigenenergies of the vibrational motion of the two nuclei

$$E_{vib} = \hbar\omega(\nu + \frac{1}{2})$$

b) Vibronically allowed transitions - the Franck-Condon principle

Electronic transitions occur rapidly compared to nuclear motion (0.1 to 1 fs vs 10 -1000 fs), the nuclei remains essentially "frozen" in the ground state during the transition.



What we are looking for: how do the vibrational features influcene the transition probability $\langle N_1 | N_0 \rangle^2$

Franck-Condon:

The better the vibronic overlap $\langle N_1 | N_0 \rangle$, the larger will be the nuclear overlap integral and the more probable will be the transition.

Or: the more compatible the wavefunctions of ground and excited state are, the more likely the transition

Relaxation of electronically excited molecules



Radiative
processes
(fluorescence,
phosphorescence)

2. Non-radiativeprocesses(vibrationalrelaxation)

3. Quenching processes, which are bi-or multimolecular relaxation processes

Jablonski diagram, summarizing intramolecular processes.

Absorption and the oscillator strength



Beer-Lambert law:

the quantity of light absorbed by a substance dissolved in a fully transmitting solvent is directly proportional to the concentration of the substance c and the path length I of the light through the solution (α is the absorption coefficient, ε is the molar extinction coefficient in I mol⁻¹ cm⁻¹, c is the concentration mol I⁻¹ and I is the distance in cm over which light is absorbed).

From here: the *decadic absorbance* **A**, which is widely used in the field of photochemistry and molecular materials science:

$$A = -\log\left(\frac{I}{I_0}\right) = \varepsilon cl$$

Absorption and the oscillator strength

Connecting macroscopic and microscopic properties The extinction coefficient can be expressed in microscopic terms introducing the cross section σ , i.e., the target area that a molecule presents to the incoming photon. If this area is struck, the photon is absorbed.



The **oscillator strength** is a measure of the strength of an electric dipole transition:

$$f_{1.0} = \left[\frac{4\varepsilon_0 m_e c^2 \ln(10)}{N_A e^2}\right] A_{band}$$

The collection of fundamental constants has a value of 4.310⁻¹⁰⁻⁹ mol dm⁻³cm².

From theory we get a connection between the oscillator strength f_{10} and the transition dipole moment:

$$f_{10} = \left[\frac{8\pi^2 m_e c}{3e^2 h}\right] \bar{\nu}_{10} |\bar{\mu}_{10}|^2$$

where $\overline{\nu}_{10}$ is the wavenumber of the transition, which we will take to be $\overline{\nu}_{max}$ (the wavenumber at maximum absorption). The collection of physical constants has the value 4.226·10⁵² C⁻²m⁻²cm, or 4.702·10⁻⁷ D⁻²cm. Thus

$$f_{10} = 4.702 \cdot 10^{-7} \bar{\nu}_{10} |\bar{\mu}_{10}|^2$$

Types of transition

- In organic molecules we are concerned mostly with orbitals originating from the overlap of atomic s and p orbitals or their hybrids.
- □ These can be classified as σ and π bonding orbitals and σ^* and π^* antibonding orbitals, as well as nonbonding orbitals n.
- Most organic molecules are closed-shell molecules in which the highest occupied molecular orbital (HOMO) are σ, π or n orbitals. On excitation, an electron may be promoted into the lowest unoccupied molecular orbital (LUMO), which is usually an σ* or π* orbital.



π →π*: alkenes, alkynes and aromatic molecules
n →π*: compounds with carbonyl, thiocarbonyl, nitro, azo and imine goups
n →σ*: amines, alcohols and haloalkanes
σ →σ*: alkanes

3.1C Absorption and fluorescence

Learning outcome

Mathematical framework of optical transitions

 The transition probability P is proportional to the square of the transition dipole moment μ² and the energy density of the radiation field E²

Selection rules

- Born-Oppenheimer approximation
- Symmetry, vibrationally and spin allowed/forbidden transitions
- Relaxation mechanisms of optically excited molecules
 - Jablonski diagram, visualizes radiative & non-radiative mechanisms

Relate absorption to molecular properties Beer-Lambert law, oscillator strength

3.1D Energy transfer processes

Learning goals:

Energy (not charge) transfer processes in organic materials

□ Concept of *excitons*

Excitons

- An exciton is a bound state of an electron and a hole which are attracted to each other by the electrostatic Coulomb force. It is an electrically neutral quasiparticle that exists in insulators, semiconductors and some liquids.
- An exciton can form when a *material absorbs a photon of higher energy than its bandgap*. This excites an electron from the valence band into the conduction band. In turn, this leaves behind a positively charged electron hole (an abstraction for the location from which an electron was moved).
- The electron in the conduction band is then effectively attracted to this localized hole

Exciton binding energy

- □ Due to the *low dielectric constant in organic semiconductors* (rel. permittivity $\varepsilon = 3-4$) holes and electrons are not screened as much as in inorganic semiconductors ($\varepsilon = 10-15$).
- High binding energy for excitons in organic semiconductos of about 0.5 eV, which means that excitons can not be thermally separated into free charge carriers at room temperature.
- A large enough driving force (at least as high as the exciton binding energy) is therefore required.



Photoinduced energy transfer and electron transfer

How is *energy transferred* from one molecule to the other?

 $A^* + \mathbf{B} \to \mathbf{A} + \mathbf{B}^*$

Exciton diffusion

The exciton is regarded as an elementary excitation of condensed matter that can transport energy without transporting net electric charge.

Different mechanisms possible:

Radiative Energy Transfer Nonradiative Energy Transfer



Short range electron-exchange energy transfer (Dexter energy transfer)

- Dexter energy transfer is a process that the donor and the acceptor exchange their electron. In other words, the exchanged electrons should occupy the orbital of the other party.
- That also implies that the excited donor and ground-state acceptor should be close enough (decreases exponentially with distance, typically 10 Angstroms).
- Also, the energies involved must be the same, means overlap of emission spectra of D and absorption spectra of A.



Short range electron-exchange energy transfer (Dexter energy transfer)

□ In 1953 Dexter expressed a *weak coupling exchangetransfer rate* constant in terms of *Z*²:

$$\frac{d}{dt}P_n = \frac{2\pi}{\hbar}Z^2 \int_0^\infty F_A(\overline{\nu}) \varepsilon_B(\overline{\nu}) d\overline{\nu} \quad \text{with} \quad Z^2 \propto e^{-2r/l}$$

Where r is the distance between donor and acceptor molecules and l is the van der Waals radius of the donor-acceptor pair (sum of the van der Waals radii of donor and acceptor molecules). The exponential decay of Z comes from the fact that Z depends on the intermolecular orbital overlap and that molecular wavefunctions decline exponentially at large r.

Long-range Coulombic energy transfer (Förster resonance energy transfer – FRET)

Förster (1948) demonstrated that rate constant for weak coupling *dipole-dipole energy transfer* from M* to Q is given by the expression

$$\frac{d}{dt}\boldsymbol{P}_{n} = \frac{0.529 \,\kappa^{2}}{n^{4}N_{A}r^{6}\tau_{f,A}} \int_{0}^{\infty} \boldsymbol{F}_{A}(\overline{\boldsymbol{\nu}}) \,\boldsymbol{\varepsilon}_{B}(\overline{\boldsymbol{\nu}}) \frac{d\overline{\boldsymbol{\nu}}}{\overline{\boldsymbol{\nu}}^{4}} \text{ with } \kappa = \cos\theta_{AB} - 3\cos\theta_{A}\cos\theta_{B}$$

where θ_{AB} is the angle between the transition dipole moment vectors $\vec{\mu}_A$ and $\vec{\mu}_B$, and θ_A and θ_B are the angles between $\vec{\mu}_A$ and $\vec{\mu}_B$ and the internuclear M-Q axis, respectively. Furthermore, n is the solvent refractive index, N_A is Avogadro's constant and $\tau_{f,A}$ is the radiative lifetime of A* and the numeric value of 0.529 has the units cm⁴ L⁻¹.

□ Defining a *critical transfer distance* r_o as the D-A separation at which $\frac{d}{dt}P_n = \frac{1}{\tau_A}$, where τ_A is the actual donor excited state lifetime in the absence of A, we obtain:

$$r_0^{\ 6} = \frac{0.529 \,\kappa^2}{n^4 N_A} \int_0^\infty F_A(\bar{\nu}) \,\varepsilon_B(\bar{\nu}) \frac{d\bar{\nu}}{\bar{\nu}^4}$$

Long-range Coulombic energy transfer (Förster resonance energy transfer – FRET)





- □ The FRET efficiency depends on the *separation distance r* with an inverse 6th power law due to the dipole-dipole coupling mechanism.
- The spectral overlap of the donor emission spectrum and the acceptor absorption spectrum.



The *relative orientation* of the donor emission dipole moment and the acceptor absorption dipole moment.

 $\overline{\rho}_n$

Diffusion of Triplet states



Diffusion of *triplet excitons* in organic semiconductors occurs via short range Dexter energy transfer.

3.1D Energy transfer processes

Learning outcome

- □ Concept of *excitons*
 - Bound state of an electron and a hole, Wannier-Mott and Frenkel excitons

□ How is *energy transfered* from one molecule to the other?

Via exciton diffusion: radiative, Dexter, Föster