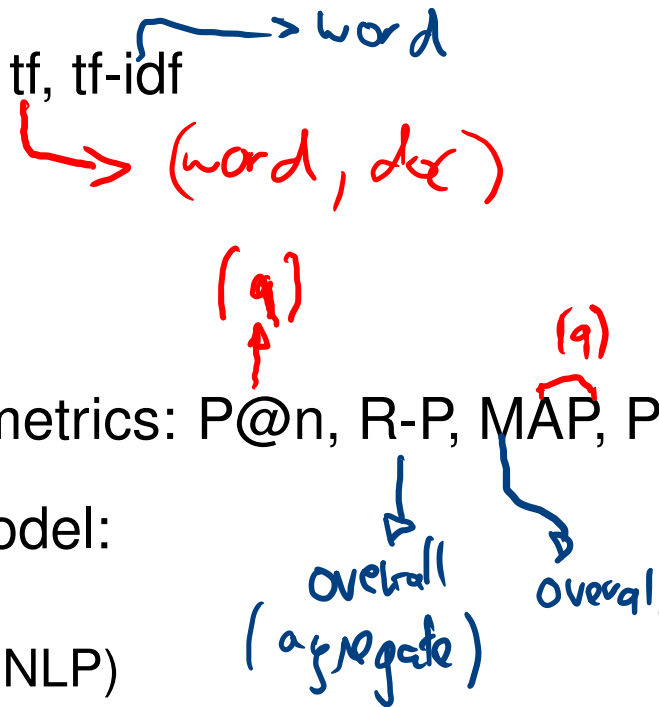


# Week 8 keypoints

- ▶ preprocessing & indexing (tokenization, stemming/lemmatization, PoS-tag filtering, stop words, frequencies)  
(we could also add: sentence splitter, NERs,  $n$ -grams, parsers)
- ▶ weightings (desequentialisation): tf, tf-idf
- ▶ cosine similarity
- ▶ Information Retrieval (what, how)
- ▶ Information Retrieval evaluation metrics:  $P@n$ , R-P, MAP, P-R curves
- ▶ beyond standard vector space model:
  - ▶ topic models
  - ▶ word embeddings (and modern NLP)



$$\text{MAP} = \text{mean } AP(q)$$

$$AP(q) = \frac{1}{|R(q)|} \sum_{d \in R(q)} P_{@rank(d)}$$

$q$ :  $R(q)$  set of relevant docs for  $q$

System  
answer to  $q$

|       |          |
|-------|----------|
| $d_1$ |          |
| $d_2$ | $P_{@2}$ |
| $d_3$ | $P_{@3}$ |
| $d_u$ |          |
| $d_s$ | $P_{@s}$ |

Relevant

# Week 8 – study case 1

|       |                    |                    |                    |                      |       |
|-------|--------------------|--------------------|--------------------|----------------------|-------|
| $d_1$ | <i>down</i><br>1.1 | <i>time</i><br>1.1 | <i>fall</i><br>1.2 | <i>wonder</i><br>1.2 | ...   |
| $d_2$ | 3.1                | 1.1                | 2.2                | 1.2                  | 0 0 0 |

Using tf-idf weighting, what is the cosine similarity between these two “documents”:

$d_1$  [ Either the well was very deep, or she fell very slowly, for she had plenty of time as she went down to look about her and to wonder what was going to happen next. ] can

$d_2$  [ Down, down, down. Would the fall never come to an end? I wonder how many miles I've fallen by this time? ” she said aloud. ]

knowing that, for instance (invent your own if needed), among a corpus of 10'000 documents:

1'000 documents contain “down”  $\rightarrow \log\left(\frac{10'000}{1000}\right) = 1$       100 documents contain “fall”  
 1'000 documents contain “time”  $\rightarrow 1$                                       100 documents contain “wonder”

$idf = \log\left(\frac{|D|}{|doc \supset word|}\right)$  texts from “Alice’s Adventures in Wonderland”, Lewis Carroll (1865)

# Week 8 – study case 2

$$R = \frac{\text{nb rel doc retrieved}}{\text{nb rel. doc.}}$$

Compute  $R$ ,  $P@5$ ,  $R\text{-prec}$ ,  $\text{MAP}$  and draw  $P\text{-}R$  curves for the two systems below

$|R(q_1)| = 6$  query  $q_1$

|  | system 1 | system 2 |
|--|----------|----------|
|--|----------|----------|

|    |   |   |
|----|---|---|
| 1  | ✓ | ✗ |
| 2  | ✗ | ✓ |
| 3  | ✗ | ✓ |
| 4  | ✓ | ✓ |
| 5  | ✓ | ✗ |
| 6  | ✗ | ✓ |
| 7  | ✗ | ✓ |
| 8  | ✓ | ✓ |
| 9  | ✗ | ✗ |
| 10 | ✓ | ✗ |

$|R(q_2)| = 7$  query  $q_2$

|  | system 1 | system 2 |
|--|----------|----------|
|--|----------|----------|

|    |   |   |
|----|---|---|
| 1  | ✗ | ✓ |
| 2  | ✓ | ✓ |
| 3  | ✓ | ✗ |
| 4  | ✓ | ✗ |
| 5  | ✓ | ✓ |
| 6  | ✓ | ✓ |
| 7  | ✗ | ✗ |
| 8  | ✓ | ✓ |
| 9  | ✗ | ✓ |
| 10 | ✓ | ✓ |

$|R(q_3)| = 8$  query  $q_3$

|  | system 1 | system 2 |
|--|----------|----------|
|--|----------|----------|

|    |   |   |
|----|---|---|
| 1  | ✓ | ✗ |
| 2  | ✓ | ✗ |
| 3  | ✓ | ✓ |
| 4  | ✗ | ✓ |
| 5  | ✓ | ✓ |
| 6  | ✓ | ✓ |
| 7  | ✗ | ✓ |
| 8  | ✓ | ✓ |
| 9  | ✗ | ✓ |
| 10 | ✓ | ✓ |

$R = \frac{5}{6}$        $R = \frac{6}{6} \rightarrow R=1$

$R = 1$        $R = 1$

$R = \frac{7}{8}$        $R = 1$

knowing that, in the above results, for each query, at least one of the two systems retrieved all the relevant documents

(and assume the missing ones are retrieved at a very high rank)

# Week 8 – study case 2

→ overall : average over  $q_i$  :  $\frac{1}{3} \sum_{i=1}^3 \boxed{?}$

Compute R, P@5, R-prec, MAP and draw P-R curves for the two systems below

for Syst 1: query  $q_1$   $R(q_1) = 6$

$X(q_1)$   
=  
P@6  
 $\frac{3}{6} = \frac{1}{2}$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | ✓        | ✗        |
| 2  | ✗        | ✓        |
| 3  | ✗        | ✓        |
| 4  | ✓        | ✓        |
| 5  | ✓        | ✗        |
| 6  | ✗        | ✓        |
| 7  | ✗        | ✓        |
| 8  | ✓        | ✓        |
| 9  | ✗        | ✗        |
| 10 | ✓        | ✗        |

query  $q_2$   $7$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | ✗        | ✓        |
| 2  | ✓        | ✓        |
| 3  | ✓        | ✗        |
| 4  | ✓        | ✗        |
| 5  | ✓        | ✓        |
| 6  | ✓        | ✓        |
| 7  | ✓        | ✗        |
| 8  | ✗        | ✓        |
| 9  | ✓        | ✓        |
| 10 | ✓        | ✓        |

$X(q_2)$   
=  
P@7  
 $\frac{5}{7}$

query  $q_3$   $8$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | ✓        | ✗        |
| 2  | ✓        | ✗        |
| 3  | ✓        | ✓        |
| 4  | ✗        | ✓        |
| 5  | ✓        | ✓        |
| 6  | ✓        | ✓        |
| 7  | ✓        | ✓        |
| 8  | ✗        | ✓        |
| 9  | ✓        | ✓        |
| 10 | ✓        | ✓        |

$X(q_3)$   
=  
P@8  
 $\frac{6}{8}$

→ R-prec for Syst 1 =  $\frac{1}{3} \left( \frac{1}{2} + \frac{5}{7} + \frac{3}{4} \right)$

knowing that, in the above results, for each query, at least one of the two systems retrieved all the relevant documents

(and assume the missing ones are retrieved at a very high rank)

# Week 8 – study case 2

overall  $q: \frac{1}{3} (AP(q_1) + AP(q_2) + AP(q_3))$

Compute R, P@5, R-prec, MAP and draw P-R curves for the two systems below

$AP(q_1) = \frac{1}{6}$   
 $P@1$   
 $+ P@4 = \frac{2}{4}$   
 $+ P@5 = \frac{3}{5}$   
 $+ P@8 = \frac{4}{8}$   
 $+ P@10 = \frac{5}{10}$

query  $q_1$   $R(q_1) = 6$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | ✓        | x        |
| 2  | x        | ✓        |
| 3  | x        | ✓        |
| 4  | ✓        | ✓        |
| 5  | ✓        | x        |
| 6  | x        | ✓        |
| 7  | x        | ✓        |
| 8  | ✓        | ✓        |
| 9  | x        | x        |
| 10 | ✓        | x        |

query  $q_2$   $R(q_2) = 7$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | x        | ✓        |
| 2  | ✓        | ✓        |
| 3  | ✓        | x        |
| 4  | ✓        | x        |
| 5  | ✓        | ✓        |
| 6  | ✓        | ✓        |
| 7  | x        | x        |
| 8  | ✓        | ✓        |
| 9  | x        | ✓        |
| 10 | ✓        | ✓        |

query  $q_3$   $R(q_3) = 8$

|    | system 1 | system 2 |
|----|----------|----------|
| 1  | ✓        | x        |
| 2  | ✓        | x        |
| 3  | ✓        | ✓        |
| 4  | x        | ✓        |
| 5  | ✓        | ✓        |
| 6  | ✓        | ✓        |
| 7  | x        | ✓        |
| 8  | ✓        | ✓        |
| 9  | x        | ✓        |
| 10 | ✓        | ✓        |

knowing that, in the above results, for each query, at least one of the two systems retrieved all the relevant documents

(and assume the missing ones are retrieved at a very high rank)

# Week 8 – study case 2

Compute R, P@5, R-prec, MAP and draw **P-R** curves for the two systems below

| query $q_1$ |          | query $q_2$ <sup>7</sup> |          | query $q_3$ |          |
|-------------|----------|--------------------------|----------|-------------|----------|
|             | system 1 | system 1                 | system 2 | system 1    | system 2 |
| 1           | ✓        | ✗                        | ✓        | ✓           | ✗        |
| 2           | ✗        | ✓                        | ✓        | ✓           | ✗        |
| 3           | ✗        | ✓                        | ✗        | ✓           | ✓        |
| 4           | ✓        | ✓                        | ✗        | ✗           | ✓        |
| 5           | ✓        | ✓                        | ✓        | ✓           | ✓        |
| 6           | ✗        | ✓                        | ✓        | ✓           | ✓        |
| 7           | ✗        | ✗                        | ✗        | ✗           | ✓        |
| 8           | ✓        | ✓                        | ✓        | ✓           | ✓        |
| 9           | ✗        | ✗                        | ✓        | ✗           | ✓        |
| 10          | ✓        | ✓                        | ✓        | ✓           | ✓        |

*Handwritten notes for query q2:*  
 - A green '7' is written above the header.  
 - A red arrow points to the first row of system 2 with the word 'fix'.  
 - A green arrow points down from the first row of system 2 with the word 'change'.  
 - To the right of the table, 'R:' is written vertically, with '1/7', '2/7', '2/7', and '2/7' written next to rows 1, 2, 3, and 4 respectively.

knowing that, in the above results, for each query, at least one of the two systems retrieved all the relevant documents

(and assume the missing ones are retrieved at a very high rank)

for syst 2  
 $q_2$

